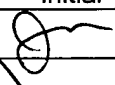


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-605001	Application No. 09/816,872
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Lutfollah Maleki et al.	
		Filing Date March 22, 2001	Group Art Unit



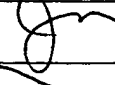
U.S. Patent Documents

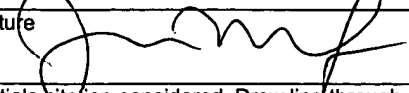
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4 829 537	May 9, 1989	Baer			
	AB						
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	AI						
	AJ						
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Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	WANG et al. "A Whispering Gallery Mode Sapphire Resonator With Semi-Spherical Enclosure." <u>Microwave Symposium Digest 2000 IEEE MTT-S International</u> 16 June 2000, Vol.2, pp. 1149-1152
	AR	GRIFFEL et al. "Fiber Coupling of DFB Laser to Micro Spherical Cavities - A Novel Approach for Frequency Control and Linewidth Quenching Utilizing Morphology Dependent Resonances." <u>Lasers and Electro-optics Society Annual Meeting 1995</u> , 31 October 1995, Vol. 1, pp. 296-297.
	AS	LITTLE et al. "Analytic Theory of Coupling from Tapered Fibers and Half-Blocks into Microsphere Resonators." <u>Journal of Lightwave Technology</u> 04 April 1999, Vol. 17 (4), pp. 704-15.
	AT	

Examiner Signature 	Date Considered 12/11/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Ilchenko, V.S. et al. "Microtorus: a high-finesse microcavity with whispering-gallery modes." Optics Letters, Vol. 26(5). March 1, 2001.
	AR	
	AS	
	AT	

Examiner Signature 	Date Considered 12/11/02
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